02-27-06

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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Application Number 40/204 420

TRANSMIT	ΓAL
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(to be used for all correspondence after initial filing)

June 26, 2003 Filing Date First Named Inventor Karl M. Armagost Art Unit 2656

Total Number of Pages in This Submission   463   Attorney Docket Number   1046_026	<u> </u>		Exami	ner Name	Tianjie C	hen		
Fee Transmittal Form	Total Number of Pages in This Submi	ission 463	Attorn	ey Docket Number	1046_02	6		
Fee Iransmittal Form		ENC	LOSURE	6 (check all that apply)				
Appeals and Interferences  Appeals and Interferences  Appeals and Interferences  Appeals Communication to TC (Appeal Notice, Brief, Reply Brief)  Petition   Petition to Convert to a Provisional Application   Proprietary Information    Affidavits/declaration(s)   Petition to Convert to a Provisional Application   Proprietary Information    Affidavits/declaration(s)   Petition to Convert to a Provisional Application   Proprietary Information    Extension of Time Request   Terminal Disclaimer   Other Enclosure(s) (please identify below):  Request for Refund   References Bl - BK (456 pages); Return Mailroom Postcard; Certificate of Express Mailing; and our check in the amount of \$180.00.  The Commissioner is authorized to charge any additional fees to Deposit Account No. \$0-0289.  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT    Firm or Individual name   Indranity flukerij   Reg. No. 46,944    Signature   Date   Petruary 24, 2006    CERTIFICATE OF MAILING    I hereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Addresservice per 37 CFR 1.10 under Express Mail No. EV678064892US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Both 1450, Alexandria, VA 22313-1450 on February 24, 2006.  Typed or printed name   Cynthia Losurdo	☐ Fee Transmittal Form	☐ Draw	☐ Drawing(s)					
Affer Final Petition to Convert to a Provisional Application Proprietary Information  Affidavits/declaration(s) Power of Attomey, Revocation Change of Correspondence Address  Extension of Time Request Request Request CD, Number of CD(s) References BI - BK (456 pages); Return Mailroom Postcard; Certificate of Express Mailing; and our check in the amount of \$180.00.  Remarks The Commissioner is authorized to charge any additional fees to Deposit Account No. 50-0289.  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Or Individual name Silinski LLP  Date Petition to Convert to a Provisional Application  Cartificate Copy of Priority Document(s)  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Or Individual name Indranity flukerij Reg. No. 46,944  Signature  Date Petruary 24, 2006  EXERTIFICATE OF MAILING  Thereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Address service per 37 CFR 1. 10 under Express Mail No. EV678064892US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Both 1450, Alexandria, VA 22313-1450 on February 24, 2006.  Typed or printed name Cynthia Losurdo	Fee Attached	Licer	nsing-relate	d Papers				
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Affidavits/declaration(s)	After Final	I I Aπer Final			Propri	etary Information		
Request for Refund   References Bi - BK (456 pages); Return Mailroom Postcard; Certificate of Express Mailing; and our check in the amount of \$180.00.    Certified Copy of Priority Document(s)   Remarks   The Commissioner is authorized to charge any additional fees to Deposit Account No. \$0.0289.    Reply to Missing Parts/ Incomplete Application   Reply to Missing Parts under 37   Reply to Missing Parts under	Affidavits/declaration(s)				☐ Status	Letter		
Express Abandonment Request    CD, Number of CD(s)   Mailroom Postcard; Certificate of Express Mailing; and our check in the amount of \$180.00.    Certified Copy of Priority Document(s)   Reply to Missing Parts/ Incomplete Application   Reply to Missing Parts under 37   CFR 1.52 or 1.53      SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT   Firm or Individual name   Indranii Mukerij   Reg. No. 46,944      Signature   Date   Peruary 24, 2006      I hereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Address service per 37 CFR 1.10 under Express Mail No. EV678064892US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Both 1450, Alexandria, VA 22313-1450 on February 24, 2006.	Extension of Time Request	☐ Tem	ninal Discla	imer				
Information Disclosure Statement  Certified Copy of Priority Document(s)  Remarks  The Commissioner is authorized to charge any additional fees to Deposit Account No. 50-0289.  Reply to Missing Parts / Incomplete Application  Reply to Missing Parts under 37  CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm  or  Individual name  Signature  Date  Petruary 24, 2006  ERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Addresservice per 37 CFR 1.10 under Express Mail No. EV678064892US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Both 1450, Alexandria, VA 22313-1450 on February 24, 2006.  Typed or printed name  Cynthia Losurdo	Express Abandonment Request		I 🚍 🤺 💮 📗		Mailroom Postcard; Certificate of Express			
Document(s)  Reply to Missing Parts/ Incomplete Application  Reply to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Or Individual name Indranit Mukerij Reg. No. 46,944  Signature  Date February 24, 2006  ERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Addresservice per 37 CFR 1.10 under Express Mail No. EV678064892US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Bot 1450, Alexandria, VA 22313-1450 on February 24, 2006.  Typed or printed name Cynthia Losurdo	☐ Information Disclosure Statemer	nt	Landscape Table on CD					
Application Reply to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Or Individual name Indranil Mukerij Reg. No. 46,944  Signature Date  Pebruary 24, 2006  ERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Addresservice per 37 CFR 1.10 under Express Mail No. EV678064892US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Both 1450, Alexandria, VA 22313-1450 on February 24, 2006.  Typed or printed name  Cynthia Losurdo		Ren						
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Firm or Individual name Indranit/Mukerij Reg. No. 46,944  Signature Date February 24, 2006  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Addresservice per 37 CFR 1.10 under Express Mail No. EV678064892US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Both 1450, Alexandria, VA 22313-1450 on February 24, 2006.  Typed or printed name Cynthia Losurdo		r 37						
or Individual name Indranit Mukerij Reg. No. 46,944  Signature  Date February 24, 2006  CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Addresservice per 37 CFR 1.10 under Express Mail No. EV678064892US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Both 1450, Alexandria, VA 22313-1450 on February 24, 2006.  Typed or printed name Cynthia Losurdo	Si	GNATURE O	F APPLIC	ANT, ATTORNEY, C	R AGENT			
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Signature Conthus Hosural Date February 24, 2006	Typed or printed name Cynthia	Losurdo						
	Signature	this X	bure	JQ.	Date	February 24, 2006		

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Fees pursuant to the Consolid	ated Appropriations Ac	t. 2005 (H.R. 4818).				plete if Know	n	
5\FEE TRA	NSMI	TT A T		pplication Numb		10/604,120		
<b>-25 \</b>				Filing Date June 26, 2003				
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TED 2 4 2006 M			Ex	caminer Name		Tianjie Chen	•	
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TOTAL AMOUNT OF PA	YMENT	\$180.00	At	torney Docket N	No.	1046_026		
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FEE CALCULATION		·						
1. BASIC FILING, SEAR	CH, AND EXA	MINATION F	EES			•		
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Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)		s Paid (\$)
Utility	300	150	500	250	200	100		· · · · · · · · · · · · · · · · · · ·
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
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Provisional	200	100	0	0	0	0		· · · · · · · · · · · · · · · · · · ·
2. EXCESS CLAIM FEES	S							Small Entity
Fee Description							Fee (\$)	Fee (\$)
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Each independent claim over	er 3 (including	Reissues)					200	100
Multiple dependent claims			····	<u> </u>			360	180
Total Claims		Extra Claims		Fee (\$)		Fee Paid (\$)	Multiple D Claims	
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3. APPLICATION SIZE I								
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4. OTHER FEES								Fees Paid (\$)
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SUBMITTED BY		7 ( '						
Signature	XVV	, \			Registration (Attorney/A		Telephone	315-425-9000
Name (Print/Type) Indranil Mukerji Date February 24, 2006								

**EXPRESS MAIL NO.: EV678064892US** 

Attorney Docket No.: 1046\_026 PATENT

## UNITED STATES PATENT AND TRADEMARK OFFICE

entor(s):

Karl M. Armagost; Edwin J. Wadsworth; Neal A. Downey; Christian C.

Curtis; Matthew T. Starr; and Ray D. Heineman

Serial No.:

10/604,120

Art Unit:

2656

Filed:

June 26, 2003

Examiner:

Tianjie Chen

Title:

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Cynthia Losurdo

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56 and M.P.E.P. § 2001.06(b), Applicant submits the following Information Disclosure Statement, and directs the attention of the United States Patent and Trademark Office to the commonly owned co-pending applications (including art cited therein) listed on the attached Form PTO/SB/08A. Copies of references BI – BK are enclosed.

The information is presented so that the U.S. Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. § 1.104(a) and § 1.105 (concerning the PTO duty to consider and use any such information). The listed application(s) may contain technical subject matter related to that of the present invention. This identification is not to be construed as a waiver of confidentiality or secrecy as to the cited application(s) now or upon issuance of the present application as a patent.

Applicant respectfully requests that the Examiner expressly consider the information disclosed herein during the prosecution of this application, that the Examiner initial the

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corresponding box on the enclosed Form PTO/SB/08A.

This Information Disclosure Statement "shall not be construed as a representation that a search has been made." See 37 C.F.R. § 1.97(g). This Information Disclosure Statement "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)." See 37 C.F.R. § 1.97(h).

The present Information Disclosure Statement is being filed (1) more than three months from the application's filing date and (2) after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action or Notice of Allowance 37 C.F.R. § 1.97(c) and therefore a fee under 37 C.F.R. § 1.17(p) is required. A check in the amount of \$180.00 is enclosed.

The Commissioner is hereby authorized to charge any additional fees associated with this submission, or credit any overpayment, to Deposit Account No. 50-0289.

Respectfully submitted,

Dated: 2/22/06

enneth Altshuler

Reg. No. 50,551

Correspondence Address:

WALL MARJAMA & BILINSKI LLP 101 South Salina Street, Suite 400 Syracuse, New York 13202

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Express Mail Label No. EV678064892US

Sheet 1 of 2

Complete if Known					
Application Number	10/604,120				
Filing Date	June 26, 2003				
First Named Inventor	Karl M. Armagost				
Art Unit 2656					
Examiner Name					
Attorney Docket Number	1046 026				

	U.S. PATENT DOCUMENTS									
Examiner	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
	AA	US Patent Application No. 10/950,613		Starr et al.						
	AB	US Patent Application No. 10/951,321		Wong						
	AC	US Patent Application No. 10/980,594		Fenske et al.						
	AD	US Patent Application No. 11/011,812		Starr et al.						
	AE	US Patent Application No. 11/019,911		Curtis et al.						
	AF	US Patent Application No. 11/037,985		Permut et al.						
	AG	US Patent Application No. 11/040,937		Starr et al.						
	AH	US Patent Application No. 11/089,749								
	Al	US Publication No. US 2005-0219964 A1	10-06-2005	Pollard et al.						
	AJ	US Publication No. US 2005-0185323 A1	08-25-2005	Brace et al.						
	AK	US Publication No. US 2004-0105187 A1	06-03-2004	Woodruff et al.						
	AL	US Publication No. US 2004-0223253 A1	11-11-2004	Woodruff et al.						
	AM	US Publication No. US 2004-0264041 A1	12-30-2004	Kumpon et al.						
	AN	US Publication No. US 2004-0264038 A1	12-30-2004	Heineman et al.	4.956.00					
	AO	US Publication No. US 2004-0264039 A1	12-30-2004	Armagost et al.						
·	AP	US Publication No. US 2004-0264037 A1	12-30-2004	Downey et al.						
	AQ	US Publication No. US 2005-0007692 A1	01-13-2005	Thompson et al.						
	AR	US Publication No. US 2004-0264042 A1	12-30-2004	Pollard et al.						
	AS	US Publication No. US 2005-0065637 A1	03-24-2005	Lantry et al.						
	AT	US Publication No. US 2005-0246484 A1	11-03-2005	Lantry et al.	t to confi					
	AU	US Publication No. US 2005-0267627 A1	12-01-2005	Lantry et al.	* ** ** *** *** *** *** *** *** *** **					
	AV	US Publication No. US 2005-0063089 A1	03-24-2005	Starr et al.	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1					
	AW	US Publication No. US 2005-0047258 A1	03-03-2005	Starr et al.						
-	AX	US Publication No. US 2005-0057847 A1	03-17-2005	Armagost et al.						

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (07-05)

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Substitute for form 1449A/PTO					Complete if Known	
INFORMATION DISCLOSURE			CLOSUBE	Application Number	10/604,120	
	- · · · · · · · · · · · · · · · · · · ·	-		Filing Date	June 26, 2003	
STATEMENT BY APPLICANT (use as many sheets as necessary)		First Named Inventor	Karl M. Armagost			
		Art Unit	2656			
Express M	fail Label No. EV	67806	4892US	Examiner Name	Tianjie Chen	
Sheet	2	of	2	Attorney Docket Number	1046_026	·

Document Number	Publication Date MM-DD-YYYY 09-08-2005	Name of Patentee or Applicant of Cited Document Brace et al.	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
US 2005-0195517 A1  US Publication No. US 2005-0195518 A1  US Publication No.			
US 2005-0195518 A1 US Publication No.	09-08-2005		
	1	Starr et al.	
US 2005-0195519 A1	09-08-2005	Kumpon et al.	
B US Publication No. US 2005-0195520 A1	09-08-2005	Starr et al.	
C US Patent Application No. 11/123,725		Rector et al.	,
D US Patent Application No. 11/126,025		Rector et al.	
E US Patent Application No. 11/145,768		Downey et al.	
F US Patent Application No. 11/230,146		Starr et al.	
G US Patent Application No. 11/240,893		Starr et al.	
H US Patent Application No. 11/264,920		Lantry et al.	
	D 11/123,725 US Patent Application No. 11/126,025 E US Patent Application No. 11/145,768 F US Patent Application No. 11/230,146 US Patent Application No. 11/240,893 US Patent Application No. 11/240,893	T1/123,725  D US Patent Application No. 11/126,025  E US Patent Application No. 11/145,768  F US Patent Application No. 11/230,146  G US Patent Application No. 11/240,893  H US Patent Application No. 11/240,893	11/123,725   Rector et al.     US Patent Application No.   11/126,025     US Patent Application No.   11/145,768     F US Patent Application No.   Starr et al.     US Patent Application No.   Starr et al.

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F	C:1-	Foreign Patent Document				Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*		Applicant of Cited  Document	Passages or Relevant Figures Appear	T <sup>6</sup>				
	ВІ		PCT Application No. US05/45168			Spectra Logic Corporation		
	BJ		PCT Application No. US05/46447			Spectra Logic Corporation		
	вк		International Publication No. WO 2005/010661	A2	02-03-2005	Spectra Logic Corporation		
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language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.